



09 / 763 994

CERTIFICATE OF MAILING

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ELI LILLY AND COMPANY

By [Signature]

Date 6-4-01

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Brian Taylor Edmonds)
Serial No. : 09/763,994)
Filed : August 30, 1999) Examiner:
For : HUMAN LATENT TRANSFORMING) John L. Anderson
GROWTH FACTOR-BETA BINDING)
PROTEIN 3)
Docket No. : X-12239)

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371

Assistant Commissioner for Patents
Washington, D. C. 20231
Sir:

In response to the "Notification of Missing Requirements dated April 02, 2001, the following is provided to conform the above-referenced application with the requirements of 37 C.F.R. §1.821 through §1.825. Enclosed herewith are:

- 1) a copy of the Notification;
- 2) a computer readable disk;
- 3) a paper copy of the sequence listing;
- 4) a statement under 1.821(f).

It is respectfully submitted the contents of the paper version of the Sequence Listing provided in the

Serial No. X-12239

published application, filed June 24, 1999, and the computer readable form of the sequence listing are identical.

It is further submitted that the incorporation of the computer readable form of the sequence listing does not represent new matter.

Respectfully submitted,



Robert L. Sharp
Attorney/Agent for Applicants
Registration No. 45,609
Phone: 317-276-5332

Eli Lilly and Company
Patent Division/RS
Lilly Corporate Center
Indianapolis, Indiana 46285

April 24, 2001

Please type a plus sign (+) in the box → ☐

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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01 PE 3/1/99
JUN 08 2001
PATENT & TRADEMARK OFFICE

FEE TRANSMITTAL

Notice Effective November 10, 1998.

Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application Number	09/763,994
Filing Date	August 30, 1999
First Named Inventor	Brian Taylor Edmonds
Group Art Unit	
Examiner Name	John L. Anderson
Attorney Docket Number	X-12239

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments for:

Deposit Account Number: 05-0840

Deposit Account Name: Eli Lilly and Company

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
105	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. CLAIMS

Total Claims	Extra a	Fee from below	Fee Paid
1	-20**=	X 18	=
Independent Claims	-4**=	X 80	=
Multiple Dependent Claims (first time)		270	=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	39	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	74	209	37	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 over original patent

SUBTOTAL (2) (\$)

**For number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge late filing fee or oath	130
107	50	207	25	Surcharge late provisional filing fee or cover sheet	
109	130	109	130	Non English specification	
140	2,520	140	1,520	For filing a request for re-examination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
118	1,890	218	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	140	55	Petition to revive-unavoidable	
141	1,240	141	620	Petition to revive unintentional	
142	1,210	142	605	Utility issue fee (for reissue)	
143	430	143	215	Design Issue Fee	
144	580	144	290	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	120	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	146	355	Filing a submission after final rejection (37 CFR 1.129(a))	
143	710	143	355	For each additional invention to be examined (37 CFR 1.128(b))	
159	710	159	355	Request for Continued Examination (RCE)	
169	900	169	450	Request for expedited examination of a design application	
Other fee (specify)					
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130

SUBMITTED BY

Typed Name: Robert L. Sharp
Signature: *Robert L. Sharp*

Complete (if applicable)

Reg. Number: 45,609
Date: 04/24/2001

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ELI LILLY AND COMPANY

By

Date

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

Not Effective November 10, 1998.

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SUBMITTED BY

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Date

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09/763994

PRO/SB/17 (12/97)

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PIPE
JUN 08 2001
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*Reduced by Basic Filing Fee Paid					
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